

SANTA CLARA COUNTY SUPERIOR COURT  
BACKGROUND INFORMATION

*Instructions: DO NOT ALTER THIS FORM IN ANY WAY. DO NOT ATTACH ADDITIONAL PAGES.*

Name	Phone	Fax
<i>John B. Bates Jr.</i>	<i>415-774-2614</i>	<i>415-675-7690</i>
Street or P.O. Box	City	Zip Code
<i>JAMS/Endispute, 2 Embarcadero Center #1100</i>	<i>San Francisco CA</i>	<i>94111</i>

**TODAY'S DATE:**

Check each panel for which you have been accepted:  mediation  neutral evaluation

**1. Describe your education, including degrees and the dates received.**

*University of California Berkeley, BA with honors 1970*  
*University of Santa Clara Law School, JD cum laude 1974*

**2. Briefly describe the ADR training you have received. For each training, give the trainer's name, the dates attended, and the total hours.**

*Conducted over 2000 mediations since mid 1980s, full time mediator since 1991. Conducted more than 25 training sessions for mediators. Lectured at Boalt, Santa Clara, Hastings, USF, and Davis on mediation.*

**3. Describe the subject matter of five disputes for which you have been an ADR provider in the past five years, with the dates. Identify the process and state whether you were a sole- or co-provider.**

- Catastrophic personal injury - mediator - sole provider.*
- Class action discrimination - mediator - sole provider.*
- Multiple plaintiff medical malpractice - mediator - sole provider.*
- Real estate partnership dissolution - mediator - sole provider.*
- Construction defect - mediator - sole provider.*

**4. Check your areas of substantive expertise:**

- |  |   |   |
|--|---|---|
| <input checked="" type="checkbox"/> Business (Commercial - Contract) | <input checked="" type="checkbox"/> Labor - Employment  | <input checked="" type="checkbox"/> Prof. Negl. (Non-Medical) |
| <input checked="" type="checkbox"/> Construction                     | <input type="checkbox"/> Landlord/Tenant                | <input checked="" type="checkbox"/> Real Estate               |
| <input checked="" type="checkbox"/> Environment                      | <input checked="" type="checkbox"/> Medical Malpractice | <input checked="" type="checkbox"/> Securities                |
| <input checked="" type="checkbox"/> Health Care                      | <input type="checkbox"/> Neighborhood                   | <input type="checkbox"/> Tax                                  |
| <input type="checkbox"/> Housing                                     | <input checked="" type="checkbox"/> Partnership         | <input type="checkbox"/> Other (specify): _____               |
| <input checked="" type="checkbox"/> Intellectual Property            | <input checked="" type="checkbox"/> Personal Injury     |   |
| <input checked="" type="checkbox"/> Insurance                        | <input checked="" type="checkbox"/> Probate - Wills     |   |

**5. If you are an attorney:**

**A. How many years have you been in active practice? If none, please explain.**

*25 years*

**B. What is or was the nature of your practice?**

*Full time mediator*

**C. What percentage of your practice has been representing plaintiffs \_\_\_\_\_ defendants \_\_\_\_\_ ?**

*N/A*

**D. How many of the following have you completed in the past five years?:**

Jury trials *\_\_\_N/A\_\_\_* Court trials \_\_\_\_\_ Judicial arbitrations \_\_\_\_\_

**6. Is your ADR style facilitative or evaluative/directive?**

*Basically facilitative, but I can be more directive to help close negotiation.*

**7. Describe your fee schedule, including any sliding-scale or pro-bono provisions.**

*\$400.00/hour 3 parties or less, \$500.00/hour more than 3 parties*

**8. Give any other information that should be considered by parties or counsel.**

*Handle 5-7 mediations per week, 50 weeks per year. Settle 90%.*