

**SANTA CLARA COUNTY SUPERIOR COURT
BACKGROUND INFORMATION**

Instructions: DO NOT ALTER THIS FORM IN ANY WAY. DO NOT ATTACH ADDITIONAL PAGES.

Name <i>Richard P. Caputo</i>	Phone <i>408-761-8036</i>	Fax <i>408-293-9599</i>
Street or P.O. Box <i>1500 University Avenue</i>	City <i>San Jose CA</i>	Zip Code <i>95126</i>

TODAY'S DATE:

Check each panel for which you have been accepted: mediation neutral evaluation

1. Describe your education, including degrees and the dates received.

University of Santa Clara, BS 1953

University of Santa Clara JD 1956

2. Briefly describe the ADR training you have received. For each training, give the trainer's name, the dates attended, and the total hours.

Appropriate Dispute Resolution Applications, 11/30/94 - 12/4/94, 40 hours, commercial mediation.

JAMS/Endispute, 3 hours, Effective Mediation; CEB, 12/8/97, 2 hours.

Court of Appeals, 1st District, Appellate Mediator Course, 2/00, 20 hours.

3. Describe the subject matter of five disputes for which you have been an ADR provider in the past five years, with the dates. Identify the process and state whether you were a sole- or co-provider.

- *Med. Mal., Jan 2000 - sole provider.*
- *PI 2000 - sole provider.*
- *Real estate dispute 1998 - sole provider.*
- *PI 2000 - sole provider.*
- *Business Dispute 2000 - sole provider.*

4. Check your areas of substantive expertise:

- | | | |
|---|---|--|
| <input checked="" type="checkbox"/> Banking | <input type="checkbox"/> Health Care | <input type="checkbox"/> Personal Injury |
| <input type="checkbox"/> Business (Commercial - Contract) | <input type="checkbox"/> Housing | <input type="checkbox"/> Probate - Wills |
| <input type="checkbox"/> Civil Rights | <input type="checkbox"/> Intellectual Property | <input type="checkbox"/> Prof. Negl. (Non-Medical) |
| <input type="checkbox"/> Construction | <input checked="" type="checkbox"/> Insurance | <input checked="" type="checkbox"/> Real Estate |
| <input type="checkbox"/> Defamation | <input type="checkbox"/> Labor - Employment | <input type="checkbox"/> Securities |
| <input type="checkbox"/> Disabilities | <input type="checkbox"/> Landlord/Tenant | <input type="checkbox"/> Tax |
| <input type="checkbox"/> Elder Issues/Abuse | <input checked="" type="checkbox"/> Medical Malpractice | <input type="checkbox"/> Other (specify): |
| <input type="checkbox"/> Environment | <input type="checkbox"/> Neighborhood | |
| <input type="checkbox"/> Family Law | <input type="checkbox"/> Partnership | |

5. If you are an attorney:

A. How many years have you been in active practice? If none, please explain.

44 years

B. What is or was the nature of your practice?

Civil litigation with emphasis on PI, Products Liability & Med. Malpractice.

C. What percentage of your practice has been representing plaintiffs; 95% defendants; 5%

D. How many of the following have you completed in the past five years?:

Jury trials; 8

Court trials; 2

Judicial arbitrations; over 30

6. Is your ADR style facilitative or evaluative/directive?

Both approaches depending on the case and lawyers.

7. Describe your fee schedule, including any sliding-scale or pro-bono provisions.

\$300.00/hour, \$75.00/hour travel time outside County of Santa Clara.

8. Give any other information that should be considered by parties or counsel.

*Past President, Santa Clara Trial Lawyers Association; Author for CEB and Proof of Facts
www.caputomediationservices.com*